Electronic Filing System (EFS) Data Electronic Patent Application Submission USPTO Use Only

EFS ID:

20290

Application ID:

10065865

Title of Invention:

SYSTEM AND METHOD FOR PROVIDING ASSET MANAGEMENT

AND TRACKING CAPABILITIES

First Named Inventor:

John GUI

Domestic/Foreign Application:

Domestic Application

Filing Date:

null

Effective Receipt Date:

2002-11-26

Submission Type:

Utility Patent Filing

Filing Type:

new-utility

Confirmation Number:

U

Attorney Docket Number:

61765.005008

cn=Robin Clark, ou=Registered Attorneys, ou=Patent and

Digital Certificate Holder:

 $\label{thm:commerce} Trademark\ Office,\ ou=Department\ of\ Commerce,\ o=U.S.$

Government, c=US

Certificate Message Digest:

j9OuyCexooGA+IniqNCTUw==

Total Fees Authorized:

\$1860.0

Payment Category:

DA – Deposit Account

Deposit Account Number:

500206

Deposit Account Name:

Robin C. Clark

TRANSMITTAL FORM



Electronic Version 1.0.3

Stylesheet Version: 1.0

Submission Type: Utility

Patent Filing

Attorney Docket

Number:

61765.005008

SYSTEM AND METHOD FOR PROVIDING ASSET MANAGEMENT AND TRACKING CAPABILITIES

First Named Inventor: Mr. John Yupeng GUI

SUBMITTED BY

Name:

Mr. Robin C. Clark

Registration Number:

40956

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Date Signed: 20021126

I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.

I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

Attached Files:

declaration

dec1.tif

declaration

dec2.tif

bibd-transmittal

GRC5008apds.xml

specification
patent-assignments
fee-transmittal

SPECIFICATION.xml GRC5008asgn.xml GRC5008fee.xml

Attached Image File(s):

dec1.tif dec2.tif Comments:

Attorney Docket No. 61765.005 GRC Docket No. RD-30

JOINT DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As the below named inventors, we hereby declare that:

Our residences, post office addresses and citizenship are as stated below next to our names;

We believe that we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought or invention entitled SYSTEM AND METHOD FOR PROVIDING ASSET MANAGEMENT AND TRACKING CAPABILITIES, the specification of w

is attached hereto.
 was filed on as Application Serial Number and was amended on .

 (if applicable)

We hereby state that we have reviewed and understand the contents of the above identified specification, including the claims amended by any amendment referred to in this declaration.

We acknowledge the duty to disclose all information known to us to be material to the patentability of this application, as define 37 C.F.R. § 1.56.

We acknowledge the duty to disclose to the Office all information known to us to be material to patentablisty as defined in § 1.56, we became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-application.

Prior Foreign Application(s)

We hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before the the application on which priority is claimed:

| Country | Application Number | Date of Filing (day, month, year) | Date of Issue (day, month, year) | Priority Claimed Under 35 U.S.C. 119 | |
|---------|--------------------|--------------------------------------|-------------------------------------|--------------------------------------------|------|
| | | | | Yes 🗌 | No 🔲 |
| * | | | | Yes [] | No 🗌 |

Prior United States Provisional Application(s)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below

| Application Serial Number | Date of Filing (day, month, year) | | | |
|---------------------------|--------------------------------------|--|--|--|
| | | | | |

Prior United States Application(s)

We hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the paragraph of Title 35, United States Code, § 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Fed Regulations, § 1.56(a) which occurred between the filling date of the prior application and the national or PCT international filling date of application:

| Application Serial Number | Date of Filing (day, month, year) | Status - Patented, Pending, Abandoned |
|---------------------------|--------------------------------------|------------------------------------------|
| 10/064,685 | 8/5/2002 | Pending |
| | | |
| | | |

HUNTON & WILLIAMS 1900 K Street, N.W., Suite 1200 Washington, D.C. 20006-1109

Attorney Docket No. 61765.00: GRC Docket No. RD-30

And we hereby appoint, both jointly and severally, as our attorneys with full power of substitution and revocation, to prosecute application and to transact all business in the Patent and Trademark Office connected herewith the following attorneys, their registration numbering listed after their names:

Stanislaus Aksman, Registration No. 28,562; Jennifer A. Albert, Registration No. 32,012; Thomas E. Anderson, Registration No. 37,08: David E. Baker, Registration No. 42,285; Scott D. Balderston, Registration No. 35,438; Carl L. Benson, Registration No. 38,378; Brian in Buroker, Registration No. 39,125; Christopher C. Campbell, Registration No. 37,291; Robin C. Clark, Registration No. 40,856; Patrick A Doody, Registration No. 35,022; Kevin T. Duncan, Registration No. 41,495; Ozzie Farres, Registration No. 43,606; Nancy Flint, Registration No., 48,704; Christopher J. Forstner, Registration No. 46,049; Charles F. Hollis, Registration No. 40,850; Nancy J. Jensen, Registration 45,913; Herbert V. Kerner, Registration No. 42,721; Jonathan D. Link, Registration No. 41,548; Tyler Maddry, Registration No. 40,074; J Michael Martinez, Registration No. 31,178; David H. Milligan, Registration No. 42,693; James R. Miner, Registration No. 40,444; Kerry F Owens, Registration No. 37,412; Andrew J. Rirle, Registration No. 45,597; Stephen T. Schreiner, Registration No. 43,097; Robert M. Schulman, Registration No. 31,198; Thomas J. Scott, Jr., Registration No. 27,836; Yisun Song, Registration No. 44,487; Samson Vermi Registration No. 42,202; and Scott F. Yernell, Registration No. 45,245.

All correspondence and telephone communications should be addressed to Hunton & Williams, 1900 K Street, N.W., Washington, 20006-1109, telephone number (202) 955-1500, which is also the address and telephone number of each of the above listed attorneys.

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and it are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements jeopardize the validity of the application or any patent issuing thereon.

| Signature | Jak Mini | | Date | 11-21-2002 |
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| Full Name of First Inventor | CARBONE Family Name | John First Given Name | | William Second Given Name |
| Residence | Niskayuna, New York | | | |
| Citizenship | United States | • | | |
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FEE TRANSMITTAL

Electronic Version 1.1.0 Stylesheet Version: 1.0

Patent fees are subject to annual revisions on or about October 1st of each year.

Large Entity

TOTAL FEES AUTHORIZED: \$ 1860

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number:

50-0206

Deposit Account Name:

Hunton and Williams

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

Charge Assignment Fees Required Under 37 C.F.R. Section 1.21 (h).

SUBMITTED BY

Authorized Name:

Robin C. Clark

Electronic Signature Mark:

rclark41

Date Signed:

20021126

BASIC FILING FEE

| Fee Description | Fee Code | Fee Paid |
|--------------------|----------|----------|
| Utility Filing Fee | 1001 | \$ 740 |

Subtotal For Basic Filing Fee: \$ 740

EXTRA CLAIM FEES

| · | Fee Code | Fee | Extra Claims | Fee Paid |
|-----------------------|----------|-------|--------------|----------|
| Total Claims: 66 | 1202 | \$ 18 | 46 | \$ 828 |
| Independent Claims: 6 | 1201 | \$ 84 | 3 | \$ 252 |

Subtotal For Extra Claims Fees: \$ 1080

ADDITIONAL FEES

| Fee Description | Number | Quantity | Fee Code | Amount | Fee Paid |
|---------------------------------------------------|----------|----------|----------|--------|----------|
| Recording Each Patent Assignment Per Property Fee | 00000000 | 1 | 8021 | \$ 40 | \$ 40 |

Subtotal For Additional Fees: \$ 40